

Substitute for form 1449/PTO

(Use as many sheets as necessary)

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| Sheet | 1 | of | 1 |
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| Application Number | 10/707,379 |
| Filing Date | November 19, 2003 |
| First Named Inventor | Bruce M. Frankel |
| Art Unit | 3775 |
| Examiner Name | RAMANA, Anuradha |
| Attorney Docket Number | ZIMM1480 |

[illegible]

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------------|---------------------------------------------------------------------------------|------------------|-------------------------------------------------|---------------------------------------------------------------------------|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | | |
| | B3 | WO 05051208 A1 | 06-09-2005 | Abbott Laboratories | | |
| | B4 | CA 2546578 A1 | 06-02-2005 | Spinal Concepts, Inc. | | |
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.R./

~~ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.R./~~

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| Examiner Signature | Date Considered |
|-----------------------|--------------------|

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|----------------------------------------------------------------------------------------------------------|------------------|--------------------------|-------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | Complete if Known | |
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| Sheet 1 | of 1 | Attorney Docket Number | ZIMM1480 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|--------------------------------------------------------------|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | C7 | International Preliminary Report on Patentability and Written Opinion issued in PCT/US2004/036895 mailed May 22, 2006, 8 pages | |
| | C8 | Department of Health and Human Services, Section 510(k) for K052638, mailed November 7, 2005, 3 pages | |
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|--------------------|-------------------|-----------------|------------|
| Examiner Signature | /Anuradha Ramana/ | Date Considered | 07/17/2009 |
|--------------------|-------------------|-----------------|------------|

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